

**P. M. I. B.**

**Wednesday, September 17, 2014**

**10:00 a.m.**

PMIB Meeting  
September 17, 2014

DESIGNATION

# POOLED MONEY INVESTMENT ACCOUNT

## PORTFOLIO SUMMARY REPORT

September 17, 2014

(Dollars in Billions)

	08/31/14	07/31/14	Change From Prior Month	08/31/13
<b>Total Portfolio</b>				
Amount	\$54.861	\$57.568	(\$2.707)	\$52.463
Effective Yield	0.246%	0.247%	-0.001%	0.259%
Quarter-to-date Yield	0.252%	0.244%	0.008%	0.269%
Year-to-date Yield	0.252%	0.244%	0.008%	0.269%
Average Life (in days)	233	247	(14)	270
<b>AB 55 Loans</b> (Government Code §16312 and §16313)				
Approved	\$0.335	\$0.335	\$0.000	\$0.298
Disbursed	\$0.222	\$0.216	\$0.006	\$0.194
<b>Local Agency Investment Fund</b>				
Deposits	\$19.783	\$20.338	(\$0.555)	\$19.747
Number of Participants	2,531	2,529	2	2,615

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD  
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

1783

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on September 17, 2014, has determined and designated the amount of money available for deposit and investment as of September 11, 2014, under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with Treasurer's Office policy, for deposit in demand bank accounts as  
Compensating Balance for Services: \$ 1,878,875,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (section 16430)*	Time Deposits in Various Financial Institutions (sections 16503a and 16602)*	Estimated Total
( 1 )	9/15/2014	9/19/2014	\$ 2,528,600,000	\$ (1,430,140,000)	\$ 4,992,740,000	\$ 3,562,600,000
( 2 )	9/22/2014	9/26/2014	\$ 2,298,800,000	\$ 868,660,000	\$ 4,992,740,000	\$ 5,861,400,000
( 3 )	9/29/2014	10/3/2014	\$ (4,017,700,000)	\$ (3,149,040,000)	\$ 4,992,740,000	\$ 1,843,700,000
( 4 )	10/6/2014	10/10/2014	\$ (410,500,000)	\$ (3,559,540,000)	\$ 4,992,740,000	\$ 1,433,200,000
( 5 )	10/13/2014	10/17/2014	\$ 209,700,000	\$ (3,349,840,000)	\$ 4,992,740,000	\$ 1,642,900,000

From any of the amounts specifically designated above, not more than 30 percent in the aggregate may be invested in prime commercial paper under section 16430(e), Government Code.

Additional amounts available in treasury trust account and in the Treasury from time to time, in excess of the amounts and for the same types of investments as specifically designated above.

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of \$ 1,878,875,000.

POOLED MONEY INVESTMENT BOARD:

\_\_\_\_\_  
Chairperson

\_\_\_\_\_  
Member

Dated: September 17, 2014

\* Government Code

\_\_\_\_\_  
Member

PMIB Meeting  
September 17, 2014

INTERNAL BORROWING

**REQUEST AND AUTHORIZATION FOR TRANSFER OF MONEYS**  
**Government Code section 16310**

**TO:** Honorable Edmund G. Brown Jr.                                  Pooled Money Investment Board  
Governor of California                                  and

In accordance with the provisions of Government Code section 16310, this is to notify you that cash in the General Fund could be exhausted on or about October 1, 2014. If we are to meet our obligations in a timely manner, it might be necessary to transfer up to \$24,426,000,000 from other funds or accounts during the period of October 1, 2014 through December 31, 2014.

It is therefore requested that the Pooled Money Investment Board determine that the moneys so listed may be temporarily transferred under sections 16310 and 16418. Such transfers will not interfere with the purpose of such funds or accounts should the Governor, at his discretion, direct me to transfer such moneys to the General Fund as needed.

JOHN CHIANG  
CALIFORNIA STATE CONTROLLER

Dated: \_\_\_\_\_

\* \* \* \* \*

## DETERMINATION BY POOLED MONEY INVESTMENT BOARD

Under the provisions of Government Code section 16310 and section 16314, the Pooled Money Investment Board hereby determines there is \$24,426,000,000 in the funds or accounts available to the General Fund in the amounts listed on Attachment 1. Where applicable, interest on the amounts transferred to the General Fund from the Pooled Money Investment Account shall be computed at the rates of return earned by the Pooled Money Investment Account on the date of the transfer of the funds.

## POOLED MONEY INVESTMENT BOARD

\_\_\_\_\_, Chairperson

\_\_\_\_\_, Member

Dated: \_\_\_\_\_, Member

\* \* \* \* \*

## AUTHORIZATION FOR TRANSFER OF MONEYS

The Governor hereby directs the Controller to transfer to the General Fund the sum of \$24,426,000,000 or so much thereof as may be needed, from time to time during the period October 1, 2014 through December 31, 2014, in accordance with the determination of the Pooled Money Investment Board as set forth above. The amounts transferred, together with the interest at the rates set forth above, shall be returned as soon as there is sufficient money in the General Fund.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Governor

**Attachment I**  
**Request and Authorization for Transfers of Money**  
**Government Code section 16310**

<b>Fund Description</b>	<b>Amount</b>
Retail Sales Tax	1,646,496,000.00
Local Revenue Fund 2011	551,966,000.00
Federal Trust Fund	315,624,000.00
Motor Vehicle Account	296,839,000.00
Sales Tax Account - Local Revenue Fund	290,907,000.00
Hospital Quality Assurance Revolving Fund	192,447,000.00
Vehicle License Fee Account	146,720,000.00
California Beverage Container Recycling Fund	119,129,000.00
Litigation Deposit Fund	77,893,000.00
Trial Court Trust Fund	70,479,000.00
Gas Consumption Surcharge Fund	58,687,000.00
Motor Vehicle License Fee Account	32,538,000.00
Underground Storage Tank Cleanup Fund	31,623,000.00
AIDS Drug Assistance Program Rebate Fund	30,375,000.00
Greenhouse Gas Reduction Fund	25,743,000.00
Insurance Fund	21,461,000.00
Workers' Comp Admin Revolving Fund	21,249,000.00
Universal Lifeline Telephone Service Trust Adm. Committee	19,695,000.00
Air Pollution Control Fund	17,486,000.00
Consolidated Work Program Fund	16,460,000.00
State Responsibility Area Fire Prevention Fund	14,988,000.00
State Parks and Recreation Fund	14,723,000.00
Teleconnect Fund Administrative Committee	12,559,000.00
State Court Facilities Construction Fund	12,139,000.00
State Hospital Account - Mental Health Facilities Fund	11,358,000.00
Alternative and Renewable Fuel and Vehicle Technology Fund	10,839,000.00
Restitution Fund	10,635,000.00
Court Facilities Trust Fund	9,950,000.00
Waste Discharge Permit Fund	9,797,000.00
Electronic Waste Recovery and Recycling Account	8,897,000.00
Vehicle Inspection and Repair Fund	8,696,000.00
Trial Court Improvement Fund	8,045,000.00
Special Deposit Fund	7,961,000.00
DPH Licensing & Cert Program Fund	7,945,000.00
Pesticide Regulation Fund	7,719,000.00
Off-Highway Vehicle Trust Fund	7,624,000.00
Employment Development Department Contingent Fund	7,543,000.00
National Mortgage Special Deposit Fund	7,223,000.00
Renewable Resource Trust Fund	7,032,000.00
Energy Resources Surcharge	6,830,000.00
Glass Processing Fee Account	6,604,000.00
Unfair Competition Law Fund	5,611,000.00
Professions and Vocations - Contractors' License Fund	5,559,000.00
California Tire Recycling Management Fund	5,399,000.00
Professions and Vocations - Contingent Fund of Board of Medical Examiners	5,290,000.00
Alcohol Beverage Control Fund	5,269,000.00
High-Cost Fund -B Administrative Committee	5,150,000.00
Perinatal Insurance Fund	4,749,000.00
Secretary of State's Business Fee Fund	4,722,000.00
Harbors and Watercraft Revolving Fund	4,664,000.00
Real Estate Fund	4,657,000.00
Page Total \$	4,233,994,000.00

	<i>Balance Forward</i>	\$ 4,233,994,000.00
Peace Officers' Training Fund		4,418,000.00
Indian Gaming Special Distribution Fund		4,244,000.00
Integrated Waste Management Account		4,229,000.00
California Environmental License Plate Fund		4,162,000.00
Managed Care Fund		4,144,000.00
Hospital Building Fund		4,119,000.00
Labor Enforcement and Compliance Fund		4,065,000.00
Vehicle License Collection Account		4,056,000.00
Occupational Safety and Health Fund		3,992,000.00
State Corporations Fund		3,955,000.00
Oil Spill Prevention and Administration Fund		3,863,000.00
High Polluter Repair or Removal Account		3,464,000.00
Deaf & Disabled Telecommunications Program		3,451,000.00
Public School Planning, Design, and Construction Review Revolving Fund		3,394,000.00
Major Risk Medical Insurance Fund		3,376,000.00
Children's Health & Human Services		3,307,000.00
Professions and Vocations - Registered Nursing Fund		3,213,000.00
High-Cost Fund -A Administrative Committee		3,123,000.00
Employment Development Department Benefit Audit Fund		3,072,000.00
Oil, Gas, and Geothermal Administrative Fund		3,056,000.00
Genetic Disease Testing Fund		3,043,000.00
Enhanced Fleet Modernization Subaccount		3,018,000.00
California Used Oil Recycling Fund		2,937,000.00
Air Quality Improvement Fund		2,895,000.00
Elevator Safety Account		2,796,000.00
California Health Data and Planning Fund		2,554,000.00
Financial Institutions Fund		2,486,000.00
Childhood Lead Poisoning Prevention Fund		2,449,000.00
Natural Gas Subaccount, Piped & D Fund		2,423,000.00
Wildlife Restoration Fund		2,403,000.00
Public Utilities Commission Ratepayer Advocate Account		2,352,000.00
California Advanced Services Fund		2,342,000.00
Professions and Vocations - Barbering and Cosmetology Contingent Fund		2,210,000.00
Hatchery and Inland Fisheries		2,057,000.00
Timber Regulation and Forest Restoration Fund		2,054,000.00
Radiation Control Fund		2,026,000.00
Health Statistics Special Fund		2,025,000.00
Corrections Training Fund		1,958,000.00
Mobilehome-Manufactured Home Revolving Fund		1,839,000.00
Attorney General False Claims Account		1,544,000.00
Victim-Witness Assistance Fund		1,486,000.00
Water Rights Fund		1,433,000.00
PET Processing Fee Account		1,396,000.00
Children's Medical Services Rebate Fund		1,396,000.00
Professions and Vocations - Pharmacy Board Contingent Fund		1,393,000.00
Horse Racing Fund		1,159,000.00
Dam Safety Fund		1,100,000.00
Private Security Services Fund		1,097,000.00
Clinical Lab Improvement Fund		1,038,000.00
Emergency Medical Air Transportation Act Fund		1,029,000.00
Vocational Nursing and Psychiatric Technicians Fund		1,017,000.00
Environmental Enhancement and Mitigation Demonstration Program Fund		1,010,000.00
Professions and Vocations - Accountancy Fund		1,007,000.00
Davis-Dolwig Account, California Water Resources Development Bond Fund		1,000,000.00
	<i>Page Total</i>	\$ 4,372,669,000.00



	<i>Balance Forward</i>	\$	4,372,669,000.00	
Private Post Secondary Education Fund			993,000.00	
Mass Transit Revolving Account			967,000.00	
Apprenticeship Training Contribution Fund			963,000.00	
Driver Training Penalty Assessment Fund			940,000.00	
Professions and Vocations - Professional Engineers' and Land Surveyors' Fund			814,000.00	
Professions and Vocations - State Dentistry Fund			812,000.00	
Firearms Safety and Enforcement Special Fund			810,000.00	
Exposition Park Improvement Fund			771,000.00	
Food Safety Fund			744,000.00	
Student Tuition Recovery Fund			723,000.00	
Credit Union Fund			722,000.00	
Professions and Vocations - Behavioral Science Examiners Fund			709,000.00	
Farmworker Housing Grant Fund			683,000.00	
Habitat Conservation Fund			676,000.00	
Mobilehome Park Revolving Fund			645,000.00	
Infant Botulism Treatment & Prevention			637,000.00	
Appellate Court Trust Fund			596,000.00	
Aeronautics Account			530,000.00	
Tax Credit Allocation Fee Account			508,000.00	
Petroleum Underground Storage Tank Financing Account			508,000.00	
Recycling Market Development Revolving Loan Account			500,000.00	
Government Code section 16310 Maximum Interest-Free Borrowing			4,387,920,000.00	(a)
Pooled Money Investment Account (PMIA)			16,951,976,000.00	(b)
Total available to be borrowed pursuant To Government Code section 16310		\$	<u>21,339,896,000.00</u>	
Special Fund for Economic Uncertainties (Government Code section 16418)		\$	1,071,100,000.00	
Budget Stabilization Account (Government Code section 16418)		\$	1,606,422,000.00	
General Fund Special Accounts (Government Code section 16418)		\$	408,582,000.00	
Total Request for Authorization for Transfer of Moneys		\$	<u><u>24,426,000,000.00</u></u>	(c)
October 1, 2014 through December 31, 2014				

(a) Subject to actual cash availability.

(b) Interest required upon amount borrowed. Rate of interest to be based upon the daily PMIA rate.

(c) Based on the Department of Finance 2014-15 Budget Act Statement of Estimated Cash Flow.

PMIB Meeting  
September 17, 2014

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**POOLED MONEY INVESTMENT BOARD**  
 (Surplus Money Investment Fund)  
 Period August 1 through August 31, 2014

**DECLARATION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
TOTAL	\$ 10,500,182,000.00*

**REDUCTION OF SURPLUS MONEY**

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
TOTAL	\$ 11,148,109,000.00*

**POOLED MONEY INVESTMENT BOARD**

\_\_\_\_\_  
Member

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Member

Dated: August, 2014

\*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20140801 TO 20140831

FUND	FUNDNAME	DECLARATION	REDUCTION
0005000	SAFENEIGH PKS, CLNWTR,CLNAIR/	0	379,000
0006000	DISABILITY ACCESS ACCOUNT	5,000	24,000
0007000	BREAST CANCER RESEARCH ACCOUNT	669,000	2,088,000
0009000	BREAST CANCER CONTROL ACCOUNT	669,000	359,000
0012000	ATTY GEN ANTITRUST ACCOUNT	71,000	0
0014000	HAZARDOUS WASTE CONTROL ACCT	291,000	298,000
0017000	FINGERPRINT FEES ACCOUNT	2,348,000	1,280,000
0018000	SITE REMEDIATION ACCOUNT	41,000	51,000
0023000	FARMWORKER REMEDIAL ACCOUNT	9,000	95,000
0024000	GUIDE DOGS FOR BLIND FUND	2,000	17,000
0028000	UNIFIED PROGRAM ACCOUNT	149,000	67,000
0032000	FIREARM SAFETY ACCOUNT	65,000	73,000
0033000	STATE ENERGY CONSER ASST ACCT	81,000	1,882,000
0035000	SURFACE MINING & RECLAMATION	39,000	35,000
0041000	AERONAUTICS ACCOUNT	153,000	0
0042000	STATE HIGHWAY ACCOUNT	185,780,000	101,524,000
0044000	MOTOR VEHICLE ACCOUNT	710,240,000	700,026,000
0045000	DO NOT USE - INOPERATIVE	0	28,900,000
0046000	PUBLIC TRANSPORTATION ACCT	90,000	134,329,000
0048000	TRANSPORTATION REV ACCOUNT	163,067,000	580,241,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	407,000	0
0061000	MOTOR VEHICLE FUEL ACCOUNT	455,133,000	460,750,000
0064000	MOTOR VEHICLE LIC FEE ACCT	27,247,000	29,335,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	0	56,000
0066000	SALE OF TOBACCO TO MINORS CTRL	15,000	185,000
0067000	STATE CORPORATIONS FUND	55,000	6,430,000
0069000	BARBERING/COSMETOLOGY CONT FD	4,365,000	1,472,000
0074000	MEDICAL WASTE MANAGEMENT FUND	540,000	182,000
0075000	RADIATION CONTROL FUND	1,077,000	1,848,000
0076000	TISSUE BANK LICENSE FUND	102,000	43,000
0078000	GRAPHIC DESIGN LICENSE PLATE F	315,000	15,000
0080000	CHILDHOOD LEAD POISONG PVTN FD	5,000	848,000
0082000	EXPORT DOCUMENT PROGRAM FUND	50,000	43,000
0083000	VETERANS SERVICE OFFICE FUND	113,000	251,000
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	3,000	2,000
0094000	RETAIL SALES TAX	6,941,000	12,148,000
0098000	CLINICAL LAB IMPROVEMENT FUND	765,000	666,000
0099000	HEALTH STATISTICS SPEC FUND	2,462,000	1,757,000
0100000	CALIF USED OIL RECYCLING FUND	5,363,000	814,000
0106000	PESTICIDE REGULATION FUND	8,824,000	3,502,000
0108000	ACUPUNCTURE FUND	214,000	316,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	6,399,000	705,000
0115000	AIR POLLUTION CONTROL FUND	7,812,000	41,874,000
0120000	MEX-AMER VET'S MEMRL BEAU ENHC	56,000	0
0121000	HOSPITAL BUILDING FUND	2,713,000	5,025,000
0122000	EMERGENCY FOOD FOR FAMILIES FD	0	66,000
0132000	WORKERS COMP MNGDCARE FUND	15,000	0
0133000	CALIF BEVERAGE CONTAINER RECYL	127,526,000	85,629,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20140801 TO 20140831

FUND	FUNDNAME	DECLARATION	REDUCTION
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0140000	ENVIRONMENTL LICENSE PLATE FD	4,038,000	5,489,000
0141000	SOIL CONSERVATION FUND	19,000	207,000
0142000	SEXUAL HABITUAL OFFENDER, DOJ	159,000	173,000
0143000	CA HEALTH DATA & PLANNING FD	3,064,000	772,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	380,000	2,972,000
0158000	TRAVEL SELLER	52,000	79,000
0159000	ST TRIAL COURT IMPROV & MODERN	24,494,000	3,073,000
0163000	CONTINUING CARE PROVIDER FEE	209,000	15,000
0166000	CERTIFICATION ACCT-CONS AFF FD	50,000	21,000
0168000	STRUCTURAL PEST CONTROL	8,000	0
0169000	CALIF DEBT LIMIT ALLOC COMM	14,000	81,000
0171000	CALIF DEBT & INVEST ADV COMM	87,000	115,000
0172000	DEV DISABILITIES PROGRAM DEV	0	10,000
0175000	DISPENSING OPTICIANS FUND	9,000	4,000
0177000	FOOD SAFETY FUND	4,012,000	654,000
0181000	REGISTERED NURSE EDUCATION	3,000	1,335,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	5,087,000	568,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	1,009,000	1,485,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	22,945,000	6,645,000
0191000	FAIR AND EXPOSITION FUND	363,000	118,000
0193000	WASTE DISCHARGE PERMIT FUND	137,000	870,000
0194000	EMERG MED SRVS TRNG PGM APROV	0	12,000
0198000	CA FIRE & ARSON TRAINING FD	0	45,000
0203000	GENETIC DISEASE TESTING FUND	5,133,000	5,189,000
0205000	GEO PELS FUND	93,000	144,000
0207000	FISH & WILDLIFE POLLUTION ACCT	10,000	0
0209000	CA HAZRD LIQUID PIPELINE SAFETY	0	46,000
0211000	CA WTRFOWL HAB PRESERVATION	0	3,000
0213000	NATIVE SPECIES CONSV & ENHAN	6,000	0
0217000	INSURANCE FUND	11,955,000	13,786,000
0223000	WORKERS' COMP ADMIN REVOLV FD	300,222,000	323,897,000
0226000	CA TIRE RECYCLING MGMT FUND	375,000	2,251,000
0228000	SEC OF STATE'S BUSINESS FEE FD	5,301,000	11,396,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	9,813,000	23,299,000
0231000	CIGARET.& TOB./HEALTH EDUCAT.	4,546,000	3,535,000
0232000	CIGARET.& TOB./HOSPITAL SERV.	8,157,000	10,278,000
0233000	CIGARET.& TOB./PHYSICIAN SERV.	2,331,000	0
0234000	CIGARET.& TOB./RESEARCH ACCT.	389,000	1,109,000
0235000	CIGARET.& TOB./PUBLIC RESOURCE	1,165,000	240,000
0236000	CIGARET. & TOB./UNALLOCATED	5,821,000	17,278,000
0238000	VETS CEMETERY PERPETUAL MAINT	9,000	2,000
0239000	PRIVATE SECURITY SERVS FUND	1,886,000	222,000
0240000	LOCAL AGY DEPOSIT SECURITY FD	0	5,000
0241000	LOC PUB PROSECUTR/DEFNDER TNG	71,000	79,000
0245000	MOBILEHOME PARK & SPEC.OCC.RF	119,000	98,000
0247000	DRINKING WATER OPERATOR CERT	2,000	0
0256000	SEXUAL PREDATOR PUBLIC INFO	0	30,000
0259000	SUPPLEMENTAL CONTRIB PROG FUND	12,000	12,000

FM03H07C

STATE CONTROLLERS OFFICE  
MONTHLY SUMMARY  
CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20140801 TO 20140831

FUND	FUNDNAME	DECLARATION	REDUCTION
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0261000	OFF HIGHWAY LICENSE FEE	299,000	0
0263000	OFF-HIGHWAY VEHICLE TRUST	14,270,000	4,815,000
0264000	OSTEOPATHIC MED BD CONTINGENT	213,000	123,000
0268000	PEACE OFFICERS TRAINING	899,000	3,543,000
0269000	GLASS PROCESSING FEE ACCOUNT	15,141,000	0
0270000	TECHNICAL ASSISTANCE FUND	2,611,000	0
0271000	CERTIFICATION FUND	157,000	26,000
0272000	INFANT BOTULISM TRTMT & PREV	313,000	461,000
0275000	HAZ & IDLE-DESERTED ABATMT FD	0	33,000
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	12,000	51,000
0277000	BIMETAL PROCESSING FEE ACCT	151,000	0
0278000	PET PROCESSING FEE ACCT	537,000	0
0279000	CHILD HEALTH & SAFETY FUND	595,000	75,000
0280000	PHYSICIAN ASSISTANT FD	151,000	96,000
0281000	RECYCLING MARKET DEV REV LOAN	394,000	1,121,000
0289000	HLTH INS COUNSELING/ADVOCACY	0	374,000
0290000	PILOT COMMISSIONERS' SPEC FD	0	335,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	168,000	19,000
0294000	REMOVAL/REMEDIAL ACTION ACCT	1,018,000	1,530,000
0295000	PODIATRIC MEDICINE FUND	84,000	73,000
0298000	FINANCIAL INSTITUTIONS FUND	171,000	364,000
0299000	CREDIT UNION FUND	0	98,000
0300000	PROFESSIONAL FORESTER REGIS	0	3,000
0305000	PRIVATE POSTSECONDARY ED	2,149,000	152,000
0306000	SAFE DRINKING WATER ACCOUNT	3,000	0
0310000	PSYCHOLOGY FUND	395,000	288,000
0312000	EMERG MED SVCS PERSONNEL FUND	0	54,000
0313000	MAJOR RISK INSURANCE	78,596,000	78,596,000
0317000	REAL ESTATE FUND	8,602,000	4,580,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	952,000	914,000
0319000	RESPIRATORY CARE FUND	296,000	233,000
0320000	OIL SPILL PREVENTION & ADMIN	5,054,000	570,000
0321000	OIL SPILL RESPONSE TRUST FUND	0	1,361,000
0322000	ENVIRONMENTAL ENHANCEMENT FUND	0	5,000
0325000	ELECTRONIC & APPL REPAIR FUND	1,031,000	44,000
0326000	ATHLETIC COMMISSION FUND	195,000	67,000
0328000	PUB SCHL PLN, DESIGN,CONST REV	0	244,000
0329000	VEHICLE LICENSE COLLECTN ACCT	3,434,000	0
0330000	LOCAL REVENUE FUND	5,614,000	5,559,000
0331000	SALES TAX ACCOUNT	266,349,000	146,495,000
0332000	VEHICLE LICENSE FEE ACCOUNT	322,940,000	281,271,000
0335000	REGISTERED ENV HLTH SPEC LST FD	15,000	48,000
0336000	MINE RECLAMATION ACCOUNT	3,289,000	3,200,000
0338000	STRONG-MOTION INSTR/SEIMC MAPG	1,931,000	751,000
0347000	SCHOOL LAND BANK FUND	0	23,000
0351000	MENTAL HEALTH SUBACCOUNT-	93,380,000	93,380,000
0367000	INDIAN GAMING SPEC DISTRIB FD	0	1,273,000
0368000	ASBESTOS CONSULTANT CERT. ACCT	25,000	23,000

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FROM: 20140801 TO 20140831

FUND	FUNDNAME	DECLARATION	REDUCTION
0369000	ASBESTOS TRAINING APPROVAL ACC	17,000	2,000
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	157,000	159,000
0378000	ATTORNEY GEN FALSE CLAIMS ACT	0	1,173,000
0381000	PUB INTEREST R D & D	0	3,084,000
0382000	RENEWABLE RESOURCE TRUST FUND	127,108,000	101,484,000
0386000	SOLID WASTE DISP CLEANUP TRUST	0	754,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	8,000,000	2,887,000
0392000	ST PARKS AND RECREATION ACCT	12,799,000	19,391,000
0396000	SELF-INSURANCE PLANS FUND	6,000	517,000
0399000	STRUCTL PEST CONTRL EDU&ENFORC	30,000	16,000
0400000	REAL ESTATE APPRAISERS REG FD	591,000	554,000
0402000	SAFE CLEAN WATER SUPPLY	0	156,000
0407000	TEACHER CREDENTIALS	1,438,000	1,564,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	633,000	434,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	0	27,000
0412000	TRANSPORTATION RATE	466,000	4,000
0419000	WATER RECYCLING SUBACCOUNT	0	58,000
0421000	VEHICLE INSPECTION & REPAIR FD	9,323,000	9,567,000
0425000	VICTIM-WITNESS ASSISTANCE FD	934,000	1,354,000
0434000	AIR TOXICS INVENTORY & ASSESMT	0	13,000
0436000	UNDERGRD STOR TANK TESTER ACCT	0	1,000
0439000	UNDERGRD STOR TANK CLEANUP FD	499,411,000	486,515,000
0447000	WILDLIFE RESTORATION FUND	413,000	275,000
0448000	OCCUPANCY COMPLIANCE MONITOR	1,026,000	519,000
0452000	ELEVATOR SAFETY ACCOUNT	1,778,000	960,000
0453000	PRESSURE VESSEL ACCOUNT	141,000	124,000
0457000	TAX CREDIT ALLOC FEE ACCOUNT	582,000	941,000
0458000	SITE OPERATN & MAINTENANCE ACC	0	16,000
0459000	TELEPHONE MED ADV SERV. FD	75,000	3,000
0460000	DEALERS RECORD OF SALES SP ACT	217,000	145,000
0461000	PUC TRANSPORTATION REIMB ACCT	78,000	86,000
0462000	PUC UTILITIES REIMBMENT ACCT	3,269,000	3,277,000
0464000	HIGH COST FUND A ADM COMM FD	2,005,000	2,941,000
0465000	ENERGY RESOURCES PROGRAMS A/C	423,000	387,000
0470000	HIGH-COST FUND-B ADM COMM FD	0	6,000
0471000	UNIV LIFELINE TELEP SVC TRST	12,412,000	8,696,000
0478000	VECTORBORNE DISEASE ACCOUNT	78,000	14,000
0481000	GARMNT MANUFACTURERS SPECL A/C	21,000	33,000
0483000	DEAF & DESABLED TELECOMM PGM	2,235,000	2,871,000
0492000	STATE ATHLETIC COMM NEURO EXAM	2,000	1,000
0493000	TELECONNECT FD - ADM COMM FD	6,576,000	299,000
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	4,000	6,000
0501000	CALIFORNIA HOUSING FINANCE	93,633,000	43,710,000
0502000	CALIF WATER RESOURCES DEV BOND	50,860,000	34,967,000
0506000	CENTRAL VALLEY WATER PROJ CONS	10,974,000	54,883,000
0507000	CENTRAL VALLEY WATER PROJ REV	70,651,000	2,000
0512000	COMPENSATION INSURANCE FUND	198,582,000	188,172,000
0514000	EMPLOYMENT TRAINING FUND	7,606,000	4,576,000

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FUND	FUNDNAME	DECLARATION	REDUCTION
0516000	HARBORS & WATERCRAFT REVOL FD	14,717,000	4,255,000
0518000	HLTH FAC CONST LOAN INSURANCE	5,811,000	15,000
0523000	EAST BAY ST.BLDG.AUTH.FUND	537,000	0
0526000	CA SCHOOL FINANCE AUTHORITY FD	39,000	46,000
0528000	ALTERNATIVE ENERGY AUTH FD	104,000	95,000
0530000	MOBILEHOME PARK PURCHASE FUND	194,000	761,000
0557000	TOXIC SUBSTANCES CONTROL ACCT	1,251,000	1,238,000
0558000	FARM & RANCH SOLID WASTE CLEAN	0	58,000
0562000	STATE LOTTERY FUND	180,828,000	64,915,000
0564000	SCHOLARSHARE ADM FUND	226,000	231,000
0566000	DOJ CHILD ABUSE FUND	32,000	37,000
0567000	GAMBLING CONTROL FUND	526,000	386,000
0569000	GAMBLING CONTROL FINES & PENLT	0	2,000
0576000	ST UNIV DORMITORY CONSTRUCTN	331,094,000	14,985,000
0578000	ST UNIV DORM INTEREST/REDEMP	18,540,000	0
0582000	HIGH POLLUTER REPAIR/REMOVAL	7,585,000	0
0585000	COUNTIES CHILDREN & FAMILIES	29,815,000	32,306,000
0587000	FAMILY LAW TRUST FUND	179,000	23,000
0588000	UNEMPLOYMENT COMP DISABL FUND	122,440,000	89,286,000
0592000	VETS FARM/HOME BUILDING-1943	26,487,000	22,970,000
0600000	VENDING STAND FUND	178,000	120,000
0604000	ARMORY FUND	205,000	0
0612000	SACTO CITY FINANCING AUTH FD	123,000	122,000
0615000	PEACE OFFICER&FIREFIGHTERS DEF	2,000	2,000
0617000	STATE WTR. POLLUTION CONTROL	34,266,000	19,895,000
0623000	CA CHILDREN/FAMILIES TRUST FD	9,015,000	37,264,000
0629000	SAFE DRINKING WTR ST REVLV FD	1,054,000	2,443,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	2,237,000	28,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	1,864,000	0
0636000	CHILD CARE ACCT, CHILD/FAM FRS	1,118,000	107,000
0637000	RESEARCH DEVEL ACCT	1,118,000	26,000
0638000	ADMINISTRATION ACCT	372,000	167,000
0639000	UNALLOCATED ACCOUNT	746,000	773,000
0641000	DOMESTIC VIOLENCE RESTRAINING	45,000	0
0648000	MOBILEHOME/MFG HOME REV FUND	81,000	1,872,000
0649000	CA INFRASTR & ECON DEVL BANK	495,000	73,000
0658000	UC MERCED	0	698,000
0660000	PUBLIC BUILDINGS CONSTRUCTN	71,069,000	27,440,000
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	5,751,000	51,887,000
0678000	PRISON INDUSTRIES REVOLV FD	17,281,000	17,620,000
0679000	STATE WATER QUALITY CONTROL	1,094,000	293,000
0687000	DONATED FOOD REVOLVING FUND	216,000	407,000
0691000	WATER RESOURCES REVOLVING	58,392,000	41,370,000
0698000	HOME PURCHASE ASSISTANCE FUND	237,000	0
0702000	P&V- CONSUMER AFFAIRS FUND	21,922,000	50,565,000
0704000	P&V- ACCOUNTANCY FUND	1,859,000	939,000
0706000	ST BRD OF ARCHITECTURAL EXAMIN	55,000	279,000
0717000	CEMETERY FUND	671,000	44,000



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CHANGES TO SURPLUS MONEY INVESTMENT FUND  
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FUND	FUNDNAME	DECLARATION	REDUCTION
0735000	P&V- CONTRACTORS' LICENSE FD	11,272,000	6,241,000
0740000	CLEAN WATER BOND-FUND 1984 STA	2,000	5,909,000
0741000	P&V- DENTISTRY FUND	973,000	904,000
0744000	1986 WTR CONS WTR QLTY BD	0	9,000
0747000	PRISON CONSTRUCTION FUND 1988	0	67,000
0750000	ST FUNERAL DIRECTORS & EMBALM	333,000	34,000
0752000	HOME FURN & THERMAL INSULATION	1,509,000	0
0755000	LICENSED MIDWIFERY FUND	3,000	0
0757000	LANDSCAPE ARCHITECTS FUND	43,000	58,000
0758000	MEDICAL BD OF CALIF	9,716,000	2,310,000
0759000	P&V- PHYSICAL THERAPY FUND	560,000	238,000
0761000	P&V- REGISTERED NURSING FUND	5,679,000	2,174,000
0763000	P&V- OPTOMETRY FUND	105,000	87,000
0767000	P&V- PHARMACY BD CONTINGENT	1,620,000	1,475,000
0768000	EARTHQK PUB BLDG REHAB FUND 90	0	587,000
0769000	PRIVATE INVESTIGATOR FUND	133,000	9,000
0770000	PRO ENGINEERS & LAND SURVEYORS	830,000	698,000
0771000	COURT REPORTERS FUND	81,000	32,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	1,980,000	829,000
0775000	P&V- STRUCTURAL PEST CONTROL	375,000	346,000
0777000	VETERINARY MEDICAL BD CONTG FD	296,000	228,000
0779000	VOCATNL NURSING/PSYCH TECH FD	1,000,000	640,000
0780000	PSYCH TECH, VOC NRSNG PSYCH TC	164,000	221,000
0803000	STATE CHILDREN'S TRUST FUND	44,000	69,000
0813000	SELF-HELP HOUSING FUND	5,000	4,000
0814000	CA STATE LOTTERY EDUCATION	0	124,000
0815000	JUDGES RETIREMENT FUND	20,051,000	16,303,000
0820000	LEGISLATORS RETIREMENT FUND	1,303,000	1,100,000
0821000	FLEXSELECT BENEFIT FUND	1,705,000	1,423,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	146,571,000	193,339,000
0829000	HEALTH PROFESSIONS EDUC FUND	109,000	173,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	1,691,042,000	2,922,536,000
0833000	ANNUITANTS' HEALTH CARE COV FD	63,539,000	61,827,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	97,166,000	0
0835000	TEACHERS RETIREMENT	87,049,000	60,222,000
0840000	CA MOTORCYCLIST SAFETY FD	201,000	30,000
0849000	REPLACEMENT BENEFIT CUST. FD	635,000	269,000
0865000	MENTAL HEALTH MANAGED CARE DP	6,545,000	5,403,000
0872000	STATE HOSPITAL ACCOUNT,	10,891,000	6,538,000
0884000	JUDGE RETIREMENT SYSTEM II FD	7,647,000	6,359,000
0885000	PERS DEFERRED COMPENSATION FD	1,950,000	2,014,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	50,000	42,000
0904000	CA HEALTH FACILITIES FIN AUTH	2,238,000	421,000
0908000	SCHOOL EMPLOYEES FUND	3,234,000	16,992,000
0910000	CONDEMNATION DEPOSITS FUND	19,158,000	13,446,000
0911000	EDUC FACILITIES AUTHORITY	44,000	50,000
0914000	BAY FILL CLEAN-UP ABATMENT	1,000	6,000
0915000	DEFERRED COMPENSATION PLAN FD	1,219,000	766,000

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CHANGES TO SURPLUS MONEY INVESTMENT FUND  
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FUND	FUNDNAME	DECLARATION	REDUCTION
0917000	INMATES' WELFARE FUND	3,667,000	3,084,000
0918000	SMALL BUSINESS EXPANSION	0	108,000
0920000	LITIGATION DEPOSIT FUND	221,491,000	201,087,000
0928000	FOREST RESOURCES IMPROV FUND	19,000	0
0929000	HOUSING REHABILITATION LOAN	479,000	3,944,000
0930000	POLLUTN CONTRL FINANCING AUTH	188,000	197,000
0932000	TRIAL COURT TRUST FUND	318,382,000	318,326,000
0933000	MANAGED CARE FUND	4,186,000	4,529,000
0938000	RENTAL HOUSING CONSTRUCTN FD	674,000	393,000
0942000	SPECIAL DEPOSIT FUND	479,747,000	137,255,000
0943000	LAND BANK FUND	16,000	20,000
0948000	CAL ST UNIV & COLL TRUST FUND	309,689,000	306,656,000
0950000	PUB EMPLOY CONTINGENCY RESRV	217,481,000	257,257,000
0952000	STATE PARK CONTINGENT	690,000	99,000
0954000	STUDENT LOAN AUTHORITY FUND	1,557,000	5,000
0960000	STUDENT TUITION RECOVERY FUND	319,000	80,000
0965000	TIMBER TAX	2,283,000	88,000
0972000	MANUFACTURED HOME RECOVERY FD	4,000	37,000
0980000	PREDEVELOPMENT LOAN FUND	0	4,000
0983000	CALIF FD FOR SENIOR CITIZENS	5,000	24,000
0985000	EMERGENCY HOUSING & ASST FUND	1,000	0
1008000	FIREARMS SAFETY/ENFRCMNT SPCL	699,000	129,000
2500000	PEDESTRIAN SAFETY ACCOUNT	1,946,000	0
3002000	ELECTRICIAN CERTIF FUND	54,000	297,000
3004000	GARMENT INDUSTRY REGULATIONS	200,000	388,000
3010000	PIERCE'S DISEASE MGMT ACCOUNT	3,000	2,279,000
3015000	GAS CONSUMPTION SURCHARGE FUND	117,155,000	117,103,000
3016000	MISSING PERSONS DNA DATA BASE	190,000	379,000
3017000	OCCUPATIONAL THERAPY FUND	109,000	113,000
3018000	DRUG AND DEVICE SAFETY FUND	317,000	434,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	626,000	312,000
3023000	WIC MANUFACTURER REBATE FUND	18,934,000	18,934,000
3025000	ABANDONED MINE RECLAMATION	562,000	36,000
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	0	91,000
3037000	STATE COURT FACILITIES CONST F	7,982,000	4,980,000
3039000	DENTALLY UNDERSERVED ACCOUNT	0	1,000
3042000	VICTIMS OF CORP FRAUD COMP FD	143,000	329,000
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	11,771,000	8,050,000
3053000	PUBLIC RGHTS LAW ENFRCMNT SPEC	0	70,000
3056000	SAFE DRKNG WATR & TOXIC ENFORC	300,000	74,000
3058000	WATER RIGHTS FUND	56,000	175,000
3059000	FISCAL RECOVERY FUND	274,092,000	494,912,000
3060000	APPELLATE COURT TRUST FUND	1,026,000	1,723,000
3062000	ENGY FAC LICENSE AND COMPL FD	0	99,000
3064000	MENTAL HLTH PRACTITIONER ED FD	28,000	27,000
3065000	ELEC WSTE RCVRY RCYLG ACCT	4,988,000	6,739,000
3066000	COURT FACILITIES TRUST FUND	593,000	13,684,000
3067000	CIGARETTE TOBACCO PRODS COMPL	102,000	72,000

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FROM: 20140801 TO 20140831

FUND	FUNDNAME	DECLARATION	REDUCTION
3068000	VOCATIONAL NURSE EDUCATION FD	1,000	21,000
3069000	NATUROPATHIC DOCTOR'S FUND	23,000	12,000
3071000	CAR WASH WORKER RESTITUTION FD	23,000	0
3072000	CAR WASH WORKER FUND	19,000	32,000
3074000	MEDICAL MARIJUANA PROGRAM FUND	35,000	338,000
3080000	AIDS DRUG ASSIST PGM REBATE	48,534,000	2,242,000
3081000	CANNERY INSPECTION FUND	140,000	150,000
3084000	ST CERTIFIED UNIFIED PGM AGY	6,000	25,000
3085000	MENTAL HEALTH SERVICES FUND	66,656,000	452,293,000
3086000	DNA IDENTIFICATION FUND	4,245,000	1,468,000
3087000	UNFAIR COMPETITION LAW FUND	3,000	185,000
3088000	REGISTRY OF CHARITABLE TRUSTS	167,000	239,000
3089000	PUC UTIL COMM RATEPAYER ADV	25,180,000	47,000
3090000	DEFICIT RECOVERY BND RET SNKNG	0	57,666,000
3098000	DPH LICENSING & CERT PGM FUND	5,614,000	7,439,000
3100000	DWR ELECTRIC POWER FUND	303,102,000	75,050,000
3103000	HATCHERY AND INLAND FISHERIES	1,726,000	418,000
3108000	PROFESSIONAL FIDUCIARY FUND	73,000	9,000
3109000	NATURAL GAS SUBACCT, PIRD&D	0	2,126,000
3111000	RETAIL FOOD SAFETY AND DEFENSE	1,000	0
3114000	BIRTH DEFECTS MONITORING PGM	1,000	173,000
3117000	ALTERNATIVE & RENEWABLE FUEL	6,776,000	2,580,000
3121000	OCCUPATIONAL SAFETY & HEALTH	2,000	2,769,000
3122000	ENHANCED FLEET MODERNIZATION	3,439,000	0
3133000	MANAGED CARE ADMIN FINES FUND	269,000	0
3134000	SCHOOL DISTRICT ACCOUNT	0	136,000
3137000	EMERGENCY MEDICAL TECH CERT	0	168,000
3138000	IMMEDIATE & CRITICAL NEEDS	17,940,000	16,345,000
3140000	STATE DENTAL HYGIENE FUND	200,000	133,000
3141000	CA ADVANCED SERVICES FUND	5,186,000	202,000
3142000	STATE DENTAL ASSISTANT FUND	143,000	127,000
3145000	UST ORPHAN SITE CLEANUP FUND	0	47,000
3147000	SMALL COMMUNITY GRANT FUND	2,485,000	37,000
3150000	STATE PUBLIC WORKS ENFORCEMENT	129,000	1,035,000
3152000	LABOR ENFORCEMENT & COMPLIANCE	2,293,000	2,186,000
3153000	HORSE RACING FUND	827,000	1,038,000
3156000	CHILDREN'S HEALTH & HUMAN SVCS	161,080,000	100,000,000
3158000	HOSPITAL QUALITY ASSURANCE REV	0	21,000
3160000	WASTEWATER OPERATOR CERT FUND	0	5,000
3163000	HEALTH INFO TECH & EXCHANGE FD	3,000	0
3165000	ENTERPRISE ZONE FUND	250,000	40,000
3168000	EMERGENCY MED AIR TRANSPORT	718,000	0
3175000	CALIFORNIA HEALTH TRUST FUND	18,964,000	11,369,000
3209000	OFFICE PATIENT ADVOCATE TRUST	942,000	3,000
3211000	ELECTRIC PROG INVEST CHRG FD	0	6,000
3228000	GREENHOUSE GAS REDUCTION FD	126,000,000	131,654,000
3237000	COST OF IMPLEMENTATION ACCT	4,094,000	57,000
3240000	SECONDHAND DEALER & PAWNBR FD	61,000	67,000

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CHANGES TO SURPLUS MONEY INVESTMENT FUND  
FROM: 20140801 TO 20140831

FUND	FUNDNAME	DECLARATION	REDUCTION
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3244000	PDATA FUND	14,000	0
3254000	BUSINESS PROGRAMS MODERN	144,000	0
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	1,000	361,000
6028000	HI ED CAP OUTLAY BOND FD 2002	0	60,000
6029000	CA CLEAN WATER/AIR SAFE NBRHD	25,000	4,546,000
6031000	WTR SEC,CLN DRNGG WR, CST BCH	5,000	15,149,000
6032000	VOTING MODERNIZATION FUND	0	1,000
6036000	ST SCHOOL FACILITIES FD 2002	137,000	0
6037000	HOUSING/EMER SHELTER TRUST FD	0	2,344,000
6041000	HIGH ED CAP OUTLAY BD FD, 2004	0	1,564,000
6043000	HIGH-SPEED PASSENGER TRAIN	26,000	2,787,000
6044000	SCHOOL FACILITIES FD, 2004 ST	0	2,051,000
6046000	CHILDREN'S HOSPITAL FUND	0	5,000
6047000	STEM CELL RESEARCH AND CURES	180,000	15,515,000
6048000	2006 UNIV CAP OUTLAY BD FD	0	813,000
6049000	2006 CA COMM COLLEGE BD FD	0	3,741,000
6051000	SAFE DRINKING WATER OF 2006	3,150,000	39,904,000
6052000	DISASTR & FLOOD BND FD OF 2006	15,000	16,741,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	150,000,000	64,289,000
6057000	2006 STATE SCHOOL FAC FUND	9,875,000	18,360,000
6066000	HOUSING/EMERGENCY SHELTER FD	352,000	12,966,000
6079000	CHILDREN'S HOSPITAL BOND ACT	22,526,000	0
6082000	HOUSING FOR VETERANS FUND	600,000	0
7505000	REVOLVING LOANS FUND	8,000	0
8001000	TEACHERS' HEALTH BENEFITS FD	3,138,000	2,732,000
8004000	CHILD SUPPORT COLLECT RCVRY FD	13,561,000	13,008,000
8018000	SALTON SEA RESTORATION FUND	0	78,000
8026000	PETRO UNDERGROUND STOR TK FIN	0	581,000
8029000	COASTAL TRUST FUND	4,107,000	29,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	53,514,000	54,438,000
8034000	MEDICALLY UNDERSERVED PHYSICNS	4,000	319,000
8038000	DONATE LIFE CA TRUST, MVA, STF	0	143,000
8041000	TEACHERS' DEFERRED COMP FUND	0	33,000
8047000	CALIFORNIA SEA OTTER FUND	0	2,000
8049000	VISION CARE PGM,STATE ANNU FD	38,000	0
8062000	POOLED SELF-INSURANCE FUND	649,000	18,000
8064000	ARTS COUNCIL FUND	0	15,000
8071000	NATIONAL MORTGAGE SP DEP FUND	0	65,000
9250000	BOXERS' PENSION FUND	0	118,000
9330000	CLEAN/RENEW ENERGY LOAN FUND	0	11,000
9728000	JUDICIAL BRANCH WORKERS' COMP	17,152,000	1,973,000
9730000	TECHNOLOGY SRVS REVOLVING FUND	18,333,000	18,289,000
9731000	LEGAL SERVICES REVOLVING FUND	13,850,000	3,205,000
9733000	COURT FACILITIES ARCHITECT REV	15,143,000	3,229,000
9739000	WATER POLL CONTROL RVLVG FUND	343,000	69,000
9740000	CENTRAL SERVICE COST RECOVERY	90,417,000	2,176,000
9741000	ENERGY EFFICIENT STATE PROPRTY	0	38,000
TOTAL		10,500,182,000	11,148,109,000


PMIB Meeting  
September 17, 2014

SMIF REQUEST

**POOLED MONEY INVESTMENT BOARD**  
**915 Capitol Mall, Room 110**  
**Sacramento, CA 95814**

Date: September 17, 2014

To: Pooled Money Investment Board  
Bill Lockyer, Chair  
John Chiang, Member  
Michael Cohen, Member

From:  Bettina Redway, Executive Secretary  
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the California Energy Commission to allow the following to participate in the Surplus Money Investment Fund:


Energy Conservation Assistance Account  
Fund No. 0033-006  
Fund No. 0033-~~110~~ 100

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.


Please indicate your approval by signing below:

  
\_\_\_\_\_  
Bill Lockyer

9-17-14  
Date

  
\_\_\_\_\_  
John Chiang

9-17-14  
Date


  
\_\_\_\_\_  
Michael Cohen

9/17/14  
Date

# Memorandum

To : Bettina Redway  
Executive Secretary  
Pooled Money Investment Board

Date: September 4, 2014

From :   
State Controller's Office  
Jocelyn Roubique, Bureau Chief  
Division of Accounting and Reporting  
Bureau of Accounting and Legislation

Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

I recommend approval for the following funds to participate in the Surplus Money Investment Fund:

State Energy Conservation Assistance Account:

Education Subaccount  
(Fund Number: 0033 006)

2014 BA 3360-404  
(Fund Number: 0033 100)

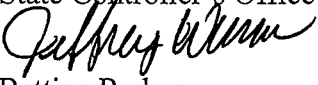
If you have any questions, please contact Alexis Liakos at (916) 322-0041 or Gary Marshall at (916) 322-4462.

cc: Bill Dowell, State Treasurer's Office  
Jeffrey Wurm, State Treasurer's Office  
Ella McDowell, State Treasurer's Office  
Gregory Bruss, Department of Finance  
Linda Lingbloom, State Controller's Office, Division of Accounting & Reporting

**POOLED MONEY INVESTMENT BOARD**  
915 Capitol Mall, Room 110  
Sacramento, CA 95814

**DATE:** August 27, 2014

**TO:** Jocelyn Roubique, Chief  
Division of Accounting & Reporting  
State Controller's Office

**FROM:** *for*   
Bettina Redway  
Executive Secretary  
Pooled Money Investment Board

**SUBJECT:** Surplus Money Investment Fund Participation Request

Attached is a request from the California Energy Commission for participation of the following fund in the Surplus Money Investment Fund:

Energy Conservation Assistance Account  
Fund No. 0033-006  
Fund No. 0033-~~110~~ 100

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office  
Greg Bruss, Department of Finance  
Gary Marshall, State Controller's Office



## CALIFORNIA ENERGY COMMISSION

1516 NINTH STREET  
SACRAMENTO, CA 95814-5512  
www.energy.ca.gov



August 26, 2014

Jeffrey Wurm, PMIB Administrator  
Pooled Money Investment Board  
State Treasurer's Office  
Executive Conference Room  
915 Capitol Mall, Room 106  
Sacramento, CA 95814

**PARTICIPATION IN THE SURPLUS MONEY INVESTMENT FUND (SMIF)**

The California Energy Commission (Energy Commission) requests your approval to have the available cash invested in the Surplus Money Investment Fund for the Energy Conservation Assistance Account Fund 0033-006 and 0033-100. These subfunds are authorized by the Senate Bill 73, Committee on Budget and Fiscal Review, Chapter 29, Statutes of 2013 and the Budget Act 2014, respectfully. Funds have been deposited or will be deposited into these sub-funds to issue loan under the ECAA Program for low interest loans to local governments, special districts, public schools, universities, and public institutions (including hospitals) for the installation of cost-effective energy efficiency and renewable energy projects. These sub-funds have been established by State Controller's Office and are operational.

If you have any questions or need additional information, please contact me at (916) 654-4391 or Marianne Warren at (916) 653-1626.

A handwritten signature in black ink, appearing to read "Sherri L. Guzman".

Sherri L. Guzman  
Budget Officer

cc: Casandra Moore-Hudnall, Division Chief  
State Controller  
Division of Accounting and Reporting

Ellen Moratti, Principal Program Budget Analyst  
Department of Finance

Kathy Stewart, Finance Budget Analyst  
Department of Finance

PMIB Meeting  
September 17, 2014

NEW COMMERCIAL PAPER ISSUER

**Pooled Money Investment Board Meeting**  
Proposed Commercial Paper Issuers  
September 17, 2014

**MUFG Union Bank, NA**

MUFG Union Bank, NA is a California based bank, and a wholly-owned subsidiary of Mitsubishi UFJ Financial Group, Inc. The MUFG Union Bank, NA commercial paper program is incorporated in New York.

MUFG Union Bank, NA currently has 360 bank branches within the state of California, is an active certificate of deposit issuer and has been an authorized PMIA investment for many years. MUFG Union Bank is also a depository bank for the PMIA.

The MUFG Union Bank, NA \$10 billion commercial paper program is rated **P-1/A-1/F1** and MUFG Union Bank, NA is rated A2/A+/A by Moody's, S&P, and Fitch respectively.

**Mizuho Funding LLC**

Mizuho Funding LLC is a Delaware corporation and is a wholly-owned subsidiary of Mizuho Bank Ltd.

Mizuho Bank Ltd. is an active Yankee certificate of deposit issuer and has been an authorized PMIA investment for many years. +

Mizuho Funding LLC \$15 billion commercial paper program is rated **P-1/A-1/F1** and Mizuho Bank Ltd. is rated A1/A+/A- by Moody's, S&P, and Fitch respectively.

Adding these names to the list of approved PMIA investments would provide diversity and flexibility in Prime investment options at competitive yields without incurring a high level of risk.

PMIB Meeting  
September 17, 2014

AB55 LOANS

**POOLED MONEY INVESTMENT BOARD**  
**September 17, 2014**  
**Staff Report – Agenda Item 8**

**AB 55 LOAN REPORTING**

1. **PMIA Loans Monthly Reporting.** The current amount of outstanding approved AB 55 Loans as of August 31, 2014 is \$334,669,721.22.

**AB 55 LOAN RECOMMENDATIONS**

1. **Loan Renewal Requests.** Staff recommends approval of the three loan renewal requests (Items 8.a – 8.c) on the agenda in the amounts shown on column f of Exhibit A to this staff report. Item 8.a reflects an increase necessary to complete the project, as well as to pay accrued interest and administrative costs. Items 8.b & 8.c reflect increases necessary to complete preliminary plans and working drawings and to begin construction, as well as to pay accrued interest and administrative costs. The loan renewal requests are in compliance with the current AB 55 loan policy.

Exhibit A

POOLED MONEY INVESTMENT BOARD  
LOAN REQUESTS (AB 55 LOANS)  
For the September 17, 2014 PMIB Meeting

Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]
						Impact on the Pool			Loan Paydown		STO Loan Recommendations		Interest Paid by
						New Loan Amount per Loan Application	Original Amount of Existing Loan	Impact on the Pool	Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	Recommended Adjusted Loan Amount	Impact on the Pool (for recommended loan amount)	
RENEWAL REQUESTS													
a	Renewal	1340010	1450005	0660533	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds San Diego County Jail	\$ 100,135,000.00	\$ 95,008,000.00	\$ 5,127,000.00	N/A	N/A	\$ 100,135,000.00	\$ 5,127,000.00	BF
b	Renewal	1340011	1450006	0660534	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds Sierra Conservation Center: Health Care Facility Improvement Project	\$ 4,210,000.00	\$ 1,540,000.00	\$ 2,670,000.00	N/A	N/A	\$ 4,210,000.00	\$ 2,670,000.00	BF
c	Renewal	1340012	1450007	0660535	Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds California Correctional Institution: Health Care Facility Improvement Project	\$ 5,759,000.00	\$ 1,441,000.00	\$ 4,318,000.00	N/A	N/A	\$ 5,759,000.00	\$ 4,318,000.00	BF
						\$ 110,104,000.00	\$ 97,989,000.00	\$ 12,115,000.00	\$ -	\$ -	\$ 110,104,000.00	\$ 12,115,000.00	

Total amount of outstanding PMIA loans as of August 31, 2014  
Impact on the Pool from this meeting's actions  
Outstanding PMIA loans after this meeting's actions

Requested	Recommended
\$ 334,669,721.22	\$ 334,669,721.22
\$ 12,115,000.00	\$ 12,115,000.00
\$ 346,784,721.22	\$ 346,784,721.22

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STC USE ONLY

PMIB NO. 1450005

## PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

100,135,000 <sup>210</sup>

Department Name: <b>Corrections and Rehabilitation</b>		Total Project Appropriation: <b>\$365,771,000.00</b> ✓	Amount Requested: <b>\$100,142,000.00</b>
Appropriation(s) to be Funded: <b>AB 900, Ch. 7/07 5225-801-0660, 61.01.753</b> <b>Jail Facilities, Phase I</b>		Government Code Sections <b>15820.90-15820.907</b> ✓	Date Funds Needed: <b>9/19/2014</b> ✓
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>	
Department Director's Name (please print):	Signature: <i>[Signature]</i>	Date: <b>7/15/14</b>	
Name: <b>San Diego County Jail Project</b>	Project: <b>Bonnie Estes</b>	Phone: <b>916-255-0595</b>	
SCO Fund # (for renewals only): <b>0660-533</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input checked="" type="checkbox"/> D-B	Estimated Project Completion Date: <b>7/1/2014</b> ✓	

## Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

☐ To pay vendors directly?☒ To reimburse local government for vendor payments?☐ To make advances to other state funds\*?☐ To make advances to State Architect Revolving Fund\*?

\*If Yes, specify:

\*If Yes, specify: DGS-PMB Project No.

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes ☐ No ☒3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes ☒ No ☐

\*If Yes, include a completed real estate "due diligence" memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken

Type of Authorization

12/13/2010 ✓

☒ Loan☐ Sale☐ Loan☐ Sale☐ Loan☐ Sale

## PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer's Office)

☐ This application is recommended for approval☒ This application is recommended for approval subject to the following conditions:☒ First \$ 95,008,000.00 to be used to pay/reduce existing PMIB loan # 1340010☐ Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

☐ This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division

Date:

## PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for

☐ approval☐ rejection☐ modification

Approved loan amount \$ \_\_\_\_\_

Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board

Date:

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED****(See attached Instructions for detailed information)**

## PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY  
PMIB NO.

1450005

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$365,771,000.00 ✓

Proposed Funding Date: 9/19/2014 ✓

Loan Amount: ~~\$100,142,000.00~~ 100,135,000 210

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

☒ Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)☐ State Building Construction Act☐ Other, specify: \_\_\_\_\_

## SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 12/13/2010, \_\_\_\_\_, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) Check one of the following ~ ☒ No litigation exists relating to this project -OR- ☐ Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name  
Corrections and  
Signature: Depart

Date

7/15/14

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Deputy Director

Date

08/13/2014

## Pooled Money Investment Board Executive Secretary's Certification

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER

AYE

NO

Treasurer of the State of California

Controller of the State of California

Director of Finance of the State of California

Additions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board

Date



## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation

Project Name: San Diego County Jail Project

Date: 7/10/2014

Loan Amount <sup>(1)</sup>: \$ 100,135,000

Estimated Project

Completion Date: 7/1/2014

Estimated Phase

Completion Dates:	N/A	Acquisition (A)		Performance Criteria & Concept Drawings (PCCD)
	N/A	Prelim Plans (PP)	<u>12/13/2010</u>	
	N/A	Work Draw (WD)	<u>7/1/2014</u>	Design-Build (D-B) <sup>(2)</sup>
	N/A	Construction (C) <sup>(2)</sup>		
	N/A	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	95,000,000.00		95,000,000	
Prior Interest			95,000,000	
Current Interest	122,515.91		95,122,516	
Administrative <sup>(3)</sup>	4,548.00	7,500.00	95,134,564	
1 Oct-14			95,134,564	DB
2 Nov-14			95,134,564	DB
3 Dec-14		5,000,000.00	100,134,564	DB
4 Jan-15			100,134,564	DB
5 Feb-15			100,134,564	DB
6 Mar-15			100,134,564	DB
7 Apr-15			100,134,564	DB
8 May-15			100,134,564	DB
9 Jun-15			100,134,564	DB
10 Jul-15			100,134,564	DB
11 Aug-15			100,134,564	DB
12 Sep-15			100,134,564	DB

<sup>(1)</sup> Rounded up to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the PMIA Loan Policy adopted 10/20/2010 for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STC USE ONLY  
PMIB NO. 1450006

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION **908,627,000**

(Completed by Requesting Department)

Department Name: <b>Corrections and Rehabilitation</b>	Total Project Appropriation: <b>\$902,999,000.00</b>	Amount Requested: <b>\$4,210,000.00</b>
Appropriation(s) to be Funded: <b>AB 900, Ch. 7/07 5225-801-0660, 61.01.754 Medical/Mental Health/Dental</b>	Government Code Sections <b>15819.40 (b) and (c) and 15819.401 - 15819.404</b>	Date Funds Needed: <b>9/19/2014</b> ✓
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>
Department Director's Name (please print): <b>Deborah Hyson</b>	S: <b>11</b>	Date: <b>7/22/14</b>
Name of SPWB Project: <b>Sierra Conservation Center : Health Care Facility Improvement Project</b>	Phone: <b>916-255-3086</b>	
SCO Fund # (for renewals only): <b>0660-534</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>2/16/2017</b>

Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

☒ To pay vendors directly?☐ To make advances to other state funds\*?

\*If Yes, specify :

☐ To reimburse local government for vendor payments?☐ To make advances to State Architect Revolving Fund\*?

\*If Yes, specify: DGS-PMB Project No.

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes ☐ No ☒3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes ☒ No ☐

\*If Yes, include a completed real estate "due diligence" memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken

Type of Authorization

**3/14/2008** ✓☒ **Loan**☐ **Sale****7/12/2013** ✓☒ **Loan**☐ **Sale**☐ **Loan**☐ **Sale**

## PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer's Office)

☐ This application is recommended for approval☒ This application is recommended for approval subject to the following conditions:☒ First \$ 1,540,000.00 to be used to pay/reduce existing PMIB loan # 1340011☐ Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

☐ This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division

Date:

## PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for

☐ approval☐ rejection☐ modification

Approved loan amount \$ \_\_\_\_\_

Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board

Date:

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED****(See attached Instructions for detailed information)**

## PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STC USE ONLY  
PMIB NO.

1450006

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: ~~\$902,999,000.00~~ 908,627,000 Proposed Funding Date: 9/19/2014 ✓  
Loan Amount: \$4,210,000.00 CY

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

☒ Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900) ☐ State Building Construction Act ☐ Other, specify: \_\_\_\_\_

## SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 3/14/2008, 7/12/2013, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~ ☒ No litigation exists relating to this project -OR- ☐ Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name

Corrections and Rehabilitation

Signature: Department Director

Date

7/23/14

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Deputy Director

Date

08/13/2014

## NEW INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER

AYE

NO

Treasurer of the State of California

Controller of the State of California

Director of Finance of the State of California

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board

Date

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation

Project Name: Sierra Conservation Center: HCFIP

Date: 7/11/2014

Loan Amount <sup>(1)</sup>: \$ 4,210,000

Estimated Project

Completion Date: 2/6/2017

Estimated Phase  
Completion Dates:

N/A

Acquisition (A)

7/18/2014

Prelim Plans (PP)

N/A

Performance Criteria  
& Concept Drawings  
(PCCD)

1/19/2015

Work Draw (WD)

N/A

Design-Build (D-B) <sup>(2)</sup>

2/6/2017

Construction (C) <sup>(2)</sup>

N/A

Equipment (E)

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	
Current Loan	326,035.57		326,036	PP
Prior Interest			326,036	
Current Interest	1,346.28		327,382	
Administrative <sup>(3)</sup>	4,548.00	7,500.00	339,430	
1 Sep-14		178,000.00	517,430	PP
2 Oct-14		178,000.00	695,430	PP
3 Nov-14		178,000.00	873,430	PP, WD
4 Dec-14		178,000.00	1,051,430	WD
5 Jan-15		178,000.00	1,229,430	WD
6 Feb-15		178,000.00	1,407,430	WD
7 Mar-15		178,000.00	1,585,430	WD
8 Apr-15		178,000.00	1,763,430	WD
9 May-15		178,000.00	1,941,430	WD
10 Jun-15		756,000.00	2,697,430	WD, C
11 Jul-15		756,000.00	3,453,430	C
12 Aug-15		757,000.00	4,210,430	C

<sup>(1)</sup> Rounded down to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the PMIA Loan Policy adopted 10/20/2010 for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY  
PMIB NO. 1450007

## PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

908,627,000

Department Name: <b>Corrections and Rehabilitation</b>	Total Project Appropriation: <b>\$902,999,000.00</b>	Amount Requested: <b>\$5,759,000.00</b>
Appropriation(s) to be Funded: <b>AB 900, Ch. 7/07 5225-801-0660, 61.01.754 Medical/Mental Health/Dental</b>	Government Code Sections 15819.40 (b) and (c) and 15819.401 - 15819.404	Date Funds Needed: <b>9/19/2014</b>
Department Contact: <b>Michelle Weaver</b>	Title: <b>Chief, Planning and Finance Section</b>	Phone: <b>916-255-2239</b>
Department Director's Name (please print): <b>Deborah Hyson</b>	Signature: <i>[Signature]</i>	Date: <b>7/23/14</b>
Name of SPWB Project: <b>California Correctional Institute : Health Care Facility Improvement Project</b>	Project Name: <b>Troy West</b>	Phone: <b>916-255-4777</b>
SCO Fund # (for renewals only): <b>0660-535</b>	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: <b>11/8/2016</b>

## Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

☒ To pay vendors directly?☐ To make advances to other state funds\*?

\*If Yes, specify :

☐ To reimburse local government for vendor payments?☐ To make advances to State Architect Revolving Fund\*?

\*If Yes, specify: DGS-PMB Project No.

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes ☐ No ☒3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes ☒ No ☐

\*If Yes, include a completed real estate "due diligence" memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
<b>3/14/2008</b> ✓	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
<b>7/12/2013</b> ✓	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

## PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer's Office)

☐ This application is recommended for approval☒ This application is recommended for approval subject to the following conditions:☒ First \$ 1,441,000.00 to be used to pay/reduce existing PMIB loan # 1340012☐ Reduce amount of loan to \$ \_\_\_\_\_

Conditions (if applicable):

☐ This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division

Date:

## PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for ☐ approval☐ rejection☐ modification

Approved loan amount \$ \_\_\_\_\_

Loan to be made no sooner than \_\_\_\_\_

Signature: Executive Secretary of the Pooled Money Investment Board

Date:

**COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED****(See attached Instructions for detailed information)**

## PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STC USE ONLY  
PMIB NO.

1450007

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: ~~\$902,999,000.00~~ 908,621,000 Proposed Funding Date: 9/19/2014 ✓  
 Loan Amount: \$5,759,000.00 ✓ CY

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

☒ Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)

☐ State Building Construction Act

☐ Other, specify: \_\_\_\_\_

## SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 3/14/2008, 7/12/2013, \_\_\_\_\_

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

**LOAN RECIPIENT SIGNATURES:** The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~ ☒ No litigation exists relating to this project -OR- ☐ Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name

Corrections and Rehabilitation

Signature: Department Director

Date

7/23/14

The State \_\_\_\_\_ agrees by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Deputy Director

Date

08/13/2014

## POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ \_\_\_\_\_ and the vote was as follows:

MEMBER	AYE	NO
Treasurer of the State of California		
Controller of the State of California		
Director of Finance of the State of California		

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board

Date

## PMIA Loan Request for SPWB Bond Programs Cashflow Statement

Department Name: California Department of Corrections and Rehabilitation

Project Name: California Correctional Institution: HCFIP

Date: 7/11/2014

Loan Amount <sup>(1)</sup>: \$ 5,759,000

Estimated Project

Completion Date: 11/8/2016

Estimated Phase

Completion Dates:	<u>N/A</u>	Acquisition (A)		Performance Criteria
	<u>7/18/2014</u>	Prelim Plans (PP)	<u>N/A</u>	& Concept Drawings
	<u>1/19/2015</u>	Work Draw (WD)	<u>N/A</u>	(PCCD)
	<u>11/8/2016</u>	Construction (C) <sup>(2)</sup>		Design-Build (D-B) <sup>(2)</sup>
	<u>N/A</u>	Equipment (E)		

Month and Year	Actual Project Disbursements	Project Disbursements for the Next 12 Months	Cumulative Disbursements	Phase of Project
Prior Loan(s)	-		-	N/A
Current Loan	324,725.35		324,725	PP
Prior Interest			324,725	
Current Interest	1,315.37		326,041	
Administrative <sup>(3)</sup>	4,548.00	7,500.00	338,089	
1 Sep-14		203,000.00	541,089	PP
2 Oct-14		203,000.00	744,089	PP
3 Nov-14		203,000.00	947,089	PP, WD
4 Dec-14		204,000.00	1,151,089	WD
5 Jan-15		204,000.00	1,355,089	WD
6 Feb-15		204,000.00	1,559,089	WD
7 Mar-15		204,000.00	1,763,089	WD
8 Apr-15		204,000.00	1,967,089	WD
9 May-15		204,000.00	2,171,089	WD
10 Jun-15		1,196,000.00	3,367,089	WD, C
11 Jul-15		1,196,000.00	4,563,089	C
12 Aug-15		1,196,000.00	5,759,089	C

<sup>(1)</sup> Rounded down to nearest thousand

<sup>(2)</sup> Any project requesting Construction or Design-Build funds for the first time refer to the PMIA Loan Policy adopted 10/20/2010 for specific requirements

<sup>(3)</sup> \$7,500 figure is estimate of fees for STO, SCO and DOF for the next 12 months